



CERTREC[®]

Regulatory Compliance Solutions for the Energy Industry

Why CERTREC?

Certrec is a leading NERC
Compliance Services Provider

Find out why

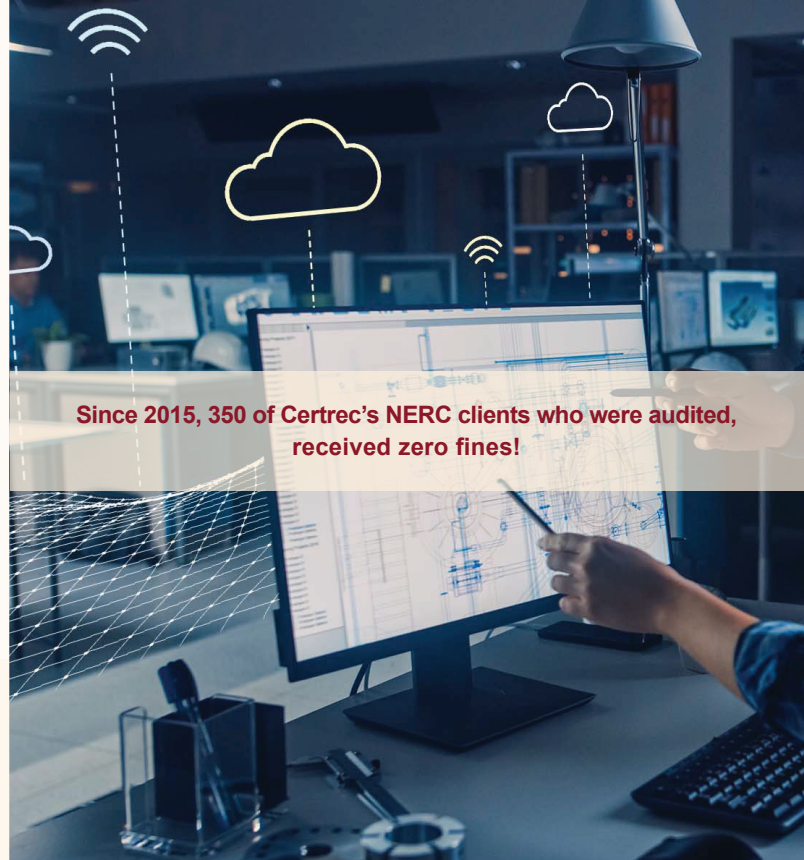
Reduce business risk

Choose Certrec as your trusted advisor

Why is it Important to have the best?

Ensuring you stay in compliance with NERC standards means reducing your risk of incurring expensive penalties, potentially impacting the business' reputation and its valuation. With NERC imposed penalties readily available in the public domain there is also the real risk of a failed audit damaging the personal credibility of the management team and of its leadership and owners.

Saving some money and risking your reputation is just not worth it. Finding the best provider of NERC regulatory compliance is of utmost importance to protect your investment.



Since 2015, 350 of Certrec's NERC clients who were audited, received zero fines!

Certrec is one of the most Conservative and low-risk NERC and NRC Compliance Advisors

We are dedicated to compliance – it's all we do – our core competency is regulatory compliance, delivered with unique SaaS technology, a passion for excellence, and driven to reduce your risk via a highly conservative approach.

We also innovate, such as creating the Certrec Portal, an industry-leading, comprehensive, secure, and private cloud that hosts powerful compliance-enabling and time-saving SaaS applications like CATS™, RegSource®, and Taktix™, which reduce your compliance and reputational risk.


75% of Certrec's NERC compliance consultants have over 30 years of plant operating and compliance experience

Certrec is the leading provider of NERC regulatory compliance programs and SaaS apps for the energy industry. 90% of the nuclear plants trust us today.

Our complete NERC compliance program costs a fraction of a full-time equivalent.

A team of 50+ team members who delivered zero fines in 350+ client audits.

We are highly conservative and risk-averse.



“Certrec has and continues to be central to our success, innovation and flexibility while we work to adopt a licensing platform for Canada”

- NuScale

Why Certrec?

- **Trusted advisor**

Certrec is a trusted advisor to 120+ clients for NERC compliance. Our decades of power-generation compliance experience have reduced the risk for hundreds of power-generating entities.

- **Predictable risk reduction**

Certrec has implemented a proven and structured approach for NERC compliance utilizing Certrec NERCJoules™.

- **Real world experience**

Certrec’s people have years of experience performing NERC compliance for actual operating utility companies. They have walked in your shoes.

- **Audits**

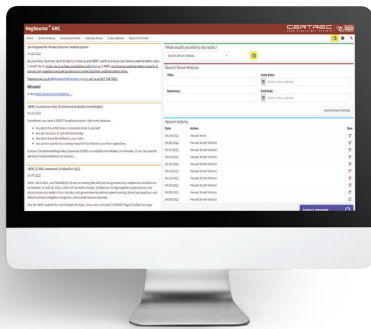
Certrec has the capability to help your sites safely navigate audits, both their effective preparation and their actual conduct. *Since 2015, 350 of Certrec’s NERC clients who were audited, received zero fines!*

- **Depth and breadth of staff**

Certrec has a strong team of NERC-compliance experts, knowledgeable in the full range of NERC-regulatory-compliance issues from compliance narratives to self-certifications, to audit preparation and conduct, for both Operations & Planning and CIP.

- **Relationships with the regulators**

We, at Certrec, have fostered decades-long relationships with NERC and regional entities. Certrec’s clients benefit from our early insights on new standards, and our understanding of the real intent of implementing existing ones.




RegSource®

“I like the broad overview of current events, operational issues/violations at operating plants, updates/issues with construction, and a look ahead at the industry's next big thing.”

- Plant Manager, major power plant

Certrec’s RegSource® is an industry-leading source of energy-compliance information, with over 8000 subscribers, saving industry professionals ten or more days of productivity per year!



Comparing FRMS (A Certrec SaaS app) with other applications, Lee Marabella, who works for Public Service Enterprise Group (PSEG), said, "Everything is better, from maintenance, site speed, accessibility and the support team."

Certrec's Unique Competitive Advantages

Certrec's core business is compliance, utilizing advanced technology, and it has been so for over three decades. We are unlike our competitors, whose core competencies are engineering, operations, or support services, with *compliance being a low-cost loss leader*. Clients of several such competitors have been publicly fined¹ because our competitors were not truly focused on NERC compliance as a core competency, therefore, they failed their clients.

We are the leading provider of regulatory compliance for NRC and NERC that solves industry challenges by utilizing technology. We have developed software solutions to reduce risk, increase productivity, and increase efficiencies that reduce costs across hundreds of power plants. E.g. We recently developed a Licensing Hub for a major new power plant, and a Fatigue Rule Management System for NRC compliance. And we are providers of regulatory compliance information and advice to 90% of US nuclear power plants, the *most risk-averse* power generators.

Certrec's compliance processes, training and products provide one of the most conservative, low-risk approaches to ensuring NERC compliance, unlike the many public examples of audit failures².

Certrec provides NERC compliance services for the clients of some competitors, including large engineering and support providers. NERC compliance is NOT their core business; but it is ours and we have invested heavily in its success.

This means **we have a combination of products, processes, and people that our competitors simply do not have**, and we can provide reduced risk for GO/GOPs in a manner that the others truly cannot:

¹ Gila Bend Operations Company (GBOC) Assessed a \$126,000 Penalty for Violations of FAC-008-3. See https://www.nerc.com/pa/comp/CE/Pages/Actions_2022/Enforcement-Actions-2022.aspx (NCR ID NCR11372) and <https://www.rtoinsider.com/articles/30067-nerc-hits-spp-srp-penalties>

NERC Full Notice of Penalty regarding Broad River Energy, LLC, FERC Docket No. NP22_-000; Financial penalties assessed of \$435,000.; See: <https://www.certrec.com/wp-content/uploads/2022/03/NERC-Fines-Broad-River-400k-2-28-2022.pdf>

² <https://www.nerc.com/pa/comp/CE/Pages/Enforcement-and-Mitigation.aspx>

Products

Products that help us to reliably reduce our clients' risks³ include:

- CATS™ - Compliance Action Tracking System.
- Taktix™ - SaaS-based collaborative platform that automates manual work processes.
- RegSource® - Industry-leading source of NERC/NRC energy industry regulatory information.
- Certrec Portal – A Compliance Hub that uniquely provides multiple SaaS apps and tools to productively manage compliance programs and projects for reliable results every time.



Processes

Certrec's proprietary "NERCJoules™" framework is a unique combination of proprietary software tools, the compliance hub (Certrec Portal), and Certrec's people and training using proven processes that are implemented via Taktix™ and CATS™. [Certrec Academy](#) trains our people as well as our customers.

People

75% of Certrec's NERC compliance consultants have over 30 years of plant operating and compliance experience. We have delivered thousands of projects for audit support, making powerful recommendations that protect the plant and its owners from non-compliance.



Selecting the best NERC compliance provider is a critical decision

The consequences of a poor choice can affect your business and personal reputation. Go with the best. Go with Certrec.

³ Since 2015, 350 of Certrec's NERC clients who were audited, received zero fines!

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About Certrec:

Certrec is a leading provider of regulatory compliance solutions for the energy industry. Our SaaS and consulting services have helped hundreds of power-generating facilities manage their regulatory compliance and reduce their risks across nuclear, fossil, solar, wind, and other power plants. Certrec has helped more than 120 generating facilities establish and maintain NERC compliance, and we manage the entire NERC compliance program for 60+ registered sites in the US and Canada that trust us to decrease their regulatory and reputational risk. Certrec is ISO/IEC 27001:2013 certified and has successfully completed a SOC 2 Type 2 examination, resulting in independent verification of the standards of security, availability, reliability, and trusted services that we provide.



Have you tried RegSource® yet?
Try the industry's leading source of regulatory compliance information! Click [Free Trial](#) to start your free trial, or visit www.RegSource.us/#pricing to compare the various plans.

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